SUBSTITUTE FORM PTO-1390

INTERNATIONAL APPLICATION NO.

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INTERNATIONAL FILING DATE

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

28951.5397

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

PRICETTY DATE CLAIMED 4 December 2002

PCT/JP2003/015302 28 November 2003 TITLE OF INVENTION MAGNETIC HEAD ASSEMBLY AND MAGNETIC DISC DEVICE APPLICANT(S) FOR DO/EO/US Susumu TAKAGI and Yoshiaki MIZOH Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include 3. items (5), (6), (9) and (21) indicated below. ☐ The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. \_\_\_.An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information: Int'l Publn. No. WO 2004/051652 dated June 17, 2004 (w/Search Report)

PCT Request

JC06 Rec'd PCT/PTO n 3 JUN 2009								
U.S. Application No. (if known, see 37 CFR 1.5)  INTERNATIONAL APPLICATION NO.						ATTORNEY'S DOCKET NUMBER 28951.5397		
The following fees have been submitted:				JP2003/015302			icant use	Office use only
24 Designational for						\$	300.00	
21. Sasic national fee \$300						Ι Ψ	300.00	+
If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) \$100 All other situations \$200						\$	200.00	
23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100 International Search Report prepared and provided to the Office \$400 All other situations \$500						\$	500.00	
TOTAL OF 21, 22 and 23 =							1000.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.								
Total Sheets	Extra Sheets  Number of each additional thereof (round up to a whole							
- 100 =	/50 =				× \$250	\$		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).								
CLAIMS	NUMBER FIL		NUMBER EXTRA		RATE			
Total claims	27 - 20			x \$		\$	350.00	
Independent claims 10 - 3			7		200	\$	1400.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360  TOTAL ABOVE CALCULATIONS =							1750.00	+
Applicant claims small entity status. See 37 CFR 1.27. The fees in dicated above are reduced by 1/2.						\$ \$	1730.00	
SUBTOTAL =							2750.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).						\$	2,00.00	<b>.!</b>
TOTAL NATIONAL FEE =						\$ \$ 2750.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied							2700.00	<u>.</u>
by an appropriate cover sheet (37 3.28, 3.31). \$40.00 per property + \$  TOTAL FEES ENCLOSED = \$ 275								
TOTAL FEES ENCLOSED =							2750.00 unt to be	
·						refunded:		\$
						Amount to be charged:		\$
a. A check in the amount of \$ 2750 to cover the above fees is enclosed.								
b. Please charge my Deposit Account No. 19-4293 in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.								
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <b>19-4293</b> . A duplicate copy of this sheet is enclosed.								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO 2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.								
SEND ALL CORF		Loc	eh H		ant	_		
USPTO CUSTOMER NO. 27890			Signa	Signature				
STEPTOE & JOHNSON LLP Attn. USPTO Docket Administrator 1330 Connecticut Avenue, NW Washington, DC 20036				Roger W. Parkhurst				
Tel. 202.429.3000 Fax 202.429.3902				25,177 Registration No.				

ATTY. DKT. 28951.5397

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Patent Application of

S. TAKAGI et al

Serial No. (new)
Based on PCT/JP2003/015302

Int'l Filing Date: November 28, 2003

For: MAGNETIC HEAD ASSEMBLY AND MAGNETIC DISC DEVICE

## NOTICE OF CHANGE OF CORRESPONDENCE ADDRESS

US Patent and Trademark Office Customer Service Window Randolph Building 401 Dulany Street Alexandria, Virginia 22314

Sir:

Please forward all future correspondence in connection with the above-identified application to the undersigned attorney of record at the following new address:

CUSTOMER NO. 27890

Roger W. Parkhurst STEPTOE & JOHNSON LLP 1330 Connecticut Avenue, N.W. Washington, D.C. 20036 Telephone: (202) 429-3000 Telefax: (202) 429-3902

Respectfully submitted,

Roger W. Parkhurst, Reg. 25,177